CENTER FOR HEALTH INFORMATION AND ANALYSIS

Massachusetts Case Mix

Outpatient
Observation Data
(OOD)

Fiscal Year 2023

Documentation Manual



Massachusetts Case Mix FY 2023 Outpatient Observation Data

USER GUIDE

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Executive Summary

Each quarter, Massachusetts facilities provide to the Center for Health Information and Analysis (CHIA) data collected from outpatient observation units about patient stays that did not end in an inpatient admission. Data on observation stay patients who were admitted to the same acute inpatient facility at which their observation stay occurred can be found in the FY 2023 Hospital Inpatient Discharge Database (HIDD). The FY 2023 Outpatient Observation Database (OOD) includes observation stays that occurred between October 1, 2022, and September 30, 2023. Facilities reported a total of 221,217 stays.

The FY 2023 OOD Guide provides general information about CHIA's most recent outpatient observation data holdings. This information includes high level data notes (data collection, data application, use and FAQs) and a codebook (data element, data dictionary, reference tables, and summary statistics).

Part A. Data Collection

Select facilities in Massachusetts are required to submit outpatient observation stay data to CHIA under regulation 957 CMR 8.00 - APCD and Case Mix Data Submission. Researchers can access OOD regulations by visiting CHIA's web site at http://www.chiamass.gov/regulations.

957 CMR 8.00 - APCD and Case Mix Data Submission requires acute care hospitals to submit inpatient discharge data to CHIA 75 days after each quarter. The quarterly reporting intervals for the FY 2023 OOD are as follows:

- Quarter 1: October 1, 2022 December 31, 2022
- Quarter 2: January 1, 2023 March 31, 2023
- Quarter 3: April 1, 2023 June 30, 2023
- Quarter 4: July 1, 2023 September 30, 2023

CHIA reviews each facility's quarterly data for compliance with 957 CMR 8.00 - APCD and Case Mix Data Submission using a one percent error rate. The one percent error rate is based upon the presence of one or more errors per stay for the facility's quarterly submission. CHIA checks for valid codes, correct formatting, and presence of the required data elements. If one percent or more of the stays are rejected, CHIA rejects the entire submission.

Each facility receives a quarterly error report displaying invalid stay information. Quarterly data that does not meet the one percent compliance standard must be resubmitted by the reporting facility until the standard is met.

Data Sources

Any stay for which the patient was admitted to observation, but which did not result in an inpatient admission of the patient at the reporting hospital, is included in the FY 2023 OOD. Observation services may include monitoring, assessment, and short term treatment before determining whether a patient requires further hospital inpatient treatment or step down monitoring of a patient discharged from inpatient care. An observation stay may occur even if the only service provided is the short term use of a bed to a registered patient for triage or screening. Observation services are further defined in the Hospital Uniform Reporting Manual (HURM), Chapter III, § 3241.

Observation Stays Originating from Emergency Department Visits

FY 2023 outpatient Emergency Department (ED) visits which result in an observation stay at the reporting hospital are not included in the reporting hospital's FY 2023 ED visit data. Observation stays that began in the reporting hospital's ED now report in the OOD stay record, the patient's ED registration and discharge date and times associated with the observation stay which originated from an ED visit. While the source of admission codes and ED Flag fields are also used to indicate observation stays originating from an ED Visit, there has been more consistency and completeness in the reporting of the ED registration and ED discharge fields for such stays.

Based on the records reporting ED registration/discharge dates (n=195,567) and records which indicated inside hospital ED as the source but omitted ED registration information (n=39), a high proportion of observation stays originate from ED visits. As

shown in Figure 1 below, 88.4% (n = 195,606) of all FY 2023 observation stays (n=221,217) originated in the reporting hospital's ED.

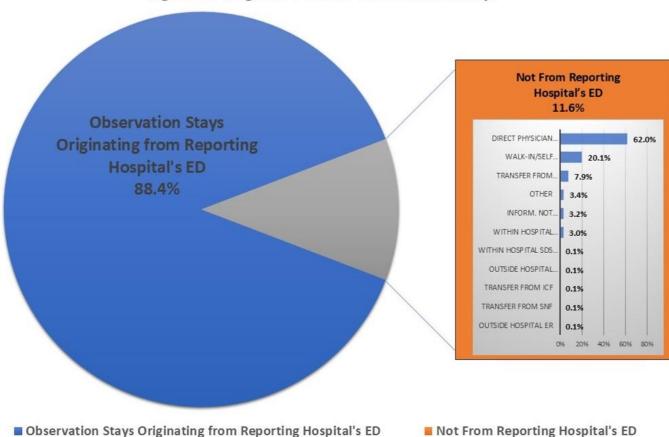


Figure 1. Origin of FY2023 Observation Stays

Observation Stays Resulting in Inpatient Admissions

If the patient was admitted to inpatient care, observation services were reported as inpatient observation services and included in the reporting hospital's inpatient discharge data (HIDD) and not in the FY 2023 OOD. Data users interested in observation stays that result in an inpatient admission should use the FY 2023 HIDD. The HIDD database has an "Observation Indicator" flag which identifies admissions that include an observation stay. Their ED Registration and Discharge Dates and Time are also included in the HIDD database.

OOD Verification Report Process

CHIA sends each facility a report with their observation stay data to maintain and improve the quality of their data submissions. The Verification Report process gives the facilities the opportunity to review the data they have provided to CHIA and affirm data accuracy and completeness.

CHIA produces facility specific Verification Reports at year-end. CHIA asks each facility to review and verify the data contained within the report. Each Verification Report has a series of frequency tables for selected data elements that include, but are not limited to, the number of stays per month and breakouts by admission type, admission source, patient race, and patient disposition.

Facilities must affirm that reported data was accurate or identify any discrepancies. Facilities certify the accuracy and completeness of their data by completing a Verification Report Response form. CHIA accepts two response types from facilities:

A: A facility indicates its agreement that the data appearing on the Verification Report is accurate and that it represents the facility's case mix profile.

B: A facility indicates that the data on the report is accurate except for the discrepancies noted. If any data discrepancies exist, CHIA requests that facilities provide written explanations of the discrepancies.

Users interested in the FY 2023 OOD Verification Reports should contact CHIA at case-mix.data@chiamass.gov. Please indicate the fiscal year of the Verification Report, the dataset name, and if the information is needed for a specific facility or set of facilities.

Part B: Applying for and Using CHIA Data

Researchers interested in receiving approval to access CHIA data should follow the instructions below to apply for the data. Due to the custom nature of each data request, limited information covering all data uses is provided. Data applicants needing additional assistance applying for data or using the data should contact CHIA at casemix.data@chiamass.gov.

How to Apply for the Data

- To obtain a copy of the Application Form, Data Use Agreement, Data Management Plan and/or other documents required for application, go to: http://www.chiamass.gov/chia-data/
- Follow the links to the forms that correspond to the data (Case Mix, MA APCD) and application type (Government, Non-Government) that are appropriate to the data request.
- Information on the Hospital Case Mix and Charge Data Fee Schedule is available at the following link: https://www.chiamass.gov/assets/docs/g/chia-ab/1705.pdf
- All application documents must be submitted to CHIA and are managed through IRBNet.org. Instructions for submitting application materials through IRBNet are available on the following link: https://www.chiamass.gov/assets/Uploads/data-apps/Application-Submission-IRBNet-Tutorial.pdf
- Non-Government applicants can request approval to use a pre-configured Limited Data Set (LDS), designed to protect patient data confidentiality while ensuring analytic utility. This streamlined dataset also improves CHIA's ability to deliver the data efficiently.
- The data contained in Case Mix databases include personal information and Protected Health Information, the release of which is restricted by state and federal laws and regulations. The application process has been designed to help applicants prepare applications that will allow for the release of data while protecting patient privacy. For this reason, applicants should familiarize themselves with CHIA's APCD and Case Mix Data Release Regulation (957 CMR 5.00) and the forms and other background information referenced above.

Securing CHIA Data Prior to Use

Approved data recipients or agents are obliged by the application and confidentiality agreement to secure the data in a manner that protects the confidentiality of the records and complies fully with the terms of CHIA's Data Use Agreement. All data obtained from CHIA must reside on an encrypted hard drive and/or secure network.

Data Delivery

CHIA delivers OOD electronically via Secure File Transfer Protocol (SFTP). Users must also be able to read and download the data files to their back office.

Hardware Requirements:

Encrypted Hard Drive with 2.0 GB of space available.

Data Use

The FY 2023 Case Mix OOD consists of ASCII text files (.txt), Microsoft Access Database files (.mdb) or SAS files (.sas7bdat). Each file name will have a suffix of "_Full" or "_Full_AAAA." Full indicates a full year or final release. AAAA indicates whether the data is from an LDS or Government dataset.

- The main FIPA_OOD_2023_**Observation** (table name: Observation), contains one record per observation stay.
- FIPA_OOD_2023_**Organization** (table name: Organization) contains one record per organization reported. This table is the look-up table for hospitals and transfer destination organization identifiers. This table can be used to lookup all facility names and hospital teaching status.
- FIPA_OOD_2023_**SubmissionLog** (table name: SubmissionLog) contains one record per quarter for each of the observation facilities filing data.
- FIPA_OOD_2023_**ErrorLog** (table name: ErrorLog) contains records by quarter and by fiscal year for the number of records passed and failed and the reason for fail by IdOrgFiler.

Linking Files

Historically, case mix data users receive a Microsoft Access version of the data. Many users import the data into SQL, STATA™, SPSS™, SAS™, or R for analysis or data management. Organization Ids should be used to link facility data.

Part C: Data Elements

The following section should provide the user with an explanation of some of the data. For more information about specific data elements, facility reporting thresholds, or other questions, please contact CHIA at casemix.data@chiamass.gov.

About the Limited Data Set (LDS)

The pre-configured Limited Data Set (LDS) is designed to protect patient data confidentiality while ensuring analytic utility. All approved data applicants receive the "core" data elements. Data applicants seeking approval to add to the "core" elements must indicate this by selecting from the list of "buy-ups." An applicant could use the "Buy-up" process to receive more granular data. For example, the user can request a "buy-up" to a 5-digit patient ZIP code instead of a 3 digit patient ZIP code. CHIA must review buy-up requests and may approve the request based on the project description. CHIA makes an additional set of core elements available only to government data applicants. Government data applicants must specifically identify requested Government-Only elements in their application and provide justification for their request.

Master Data Elements List

For the FY 2023 OOD, CHIA provides a master data elements list by table. Not every approved data applicant has access to every data element – some are reserved for limited dataset buy-ups or for government use. All approved data applicants have access to the "CORE" data. Data applicants who choose limited dataset buy-ups may have access to some "LDS" elements. Only government data applicants may request the "GOV" fields. Data applicants interested in purchasing the data should visit the CHIA website for instructions.

OBSERVATION TABLE-CORE ELEMENTS

SecondarySourceOfPayment SecondarySourceOfVisit SexLDS
•
SexLDS
SourceOfVisit
OS SubmissionControlID
SubmissionQuarter
eLDS SubmissionYear
TemporaryPatientStateLDS
TemporaryPatientZIP3CodeLDS
TypeOfVisitObs
VisitPassed

ldOrgFiler	PrincipalProcedureCode	NumberOfHoursInED
IDOrgHosp	RecordType01ID	

OBSERVATION TABLE-LDS ELEMENTS

AssociatedProcedureCode1Date-3Date	LegacyCHIAPatientUHIN	PrincipalProcedureCodeYYYYMM
AssociatedProcedureCode1YYYYMM- YYYYMM	LegacyCHIAPhysicianNumber	Race1
DischargeDate	LegacyCHIAOtherPhysicianNumber	Race2
DischargeMonth	ObservationSequence	RegistrationDate
DischargeYear	OtherPhysicianNumber	RegistrationMonth
EmergencyDepartmentRegistrationDate	PermanentPatientCityLDS	RegistrationYear
Ethnicity1	PermanentPatientZIP5CodeLDS	SurgeonAssociatedProcedure1-3
Ethnicity2	PhysicianNumber	TemporaryPatientCityLDS
LegacyCHIAMothersUHIN	PrincipalProcedureCodeDate	TemporaryPatientZip5CodeLDS

OBSERVATION TABLE-GOVERNMENT-ONLY ELEMENTS

EmergencyDepartmentDischargeHour	EmergencyDepartmentRegistrationTime	OtherRace
EmergencyDepartmentDischargeMinute	HospitalBillNumber	PatientBirthDate
EmergencyDepartmentDischargeTime	MedicaidMemberID	RegistrationTime
EmergencyDepartmentRegistrationHour	MedicalRecordNumber	
EmergencyDepartmentRegistrationMinute	OtherEthnicity	

SUBMISSION TABLE

Active	OrgName	SubmissionControlID
IdOrgFiler	Passed	TotalCharges
NumberofObservations	Quarter	Year

ERROR LOG TABLE

ErrorCategory	NumberofErrors	SubmissionActive
ErrorDescription	NumberofObservations	SubmissionControlID
ErrorLogKey	NumberofObservationsFailed	SubmissionQuarter
FieldName	NumberofObservationsPassed	SubmissionPassed
IdOrgFiler	OrgName	SubmissionYear

ORGANIZATION TABLE

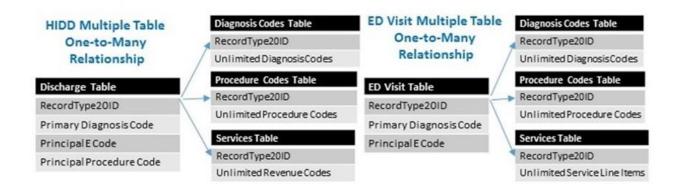
City	OrganizationNumber	State
DateInactive	OrganizationTypeID	Туре
GroupName	OrgID	
OrganizationGroupID	OrgName	

Organization of the Diagnosis and Procedure Codes

For FY 2023 OOD, CHIA organizes the procedure (CPT-4 or ICD-10-PCS) and diagnosis (ICD-10-CM) fields into one main OOD table. See Figure 2 below. Diagnoses and procedures are ordered as submitted to CHIA. CHIA does not require the order of diagnoses and procedures to be medically relevant. CHIA does not affirm or confirm the medical relevancy of the principal diagnosis, procedure, or external cause codes.

Figure 2. Relationship between Diagnosis Codes and Procedure Codes in Case Mix Data

OOD Single Table One-to-One Relationship Outpatient Observation Stay Data Table RecordType01ID Principal Diagnosis Code Principal E Code Associated Diagnosis Codes 1 through 15 Principal Procedure Code Associated Procedure Codes 1 through 3 CPT Codes 1 through 10



Registration Date, Discharge Date and Observation Time

The Registration Date, Discharge Date and Observation Time reflects the actual date and time that the patient visit began and was discharged from the OOD. Default values, such as 11:59 PM of the day the patient was registered, were unacceptable. Time was reported as military time, and valid values include 0000 through 2359.

ED Registration and Discharge Date and Time Data

If the Observation Stay was due to a transfer from the hospital's internal Emergency Department, then providers were asked to include the ED registration and discharge dates and times. For F Y2023, release of this data is limited to Government recipients only. Time was reported as military time, and valid values include 0000 through 2359.

Organization Identifiers (OrgID)

FY 2023 OOD contains four organization identifier fields. These fields are a CHIA assigned unique code for each Massachusetts facility:

Massachusetts Filer Organization ID (IdOrgFiler): The Organization ID for the facility that submitted the observation unit data to CHIA.

- Massachusetts Site Organization ID (IdOrgSite): The Organization ID for the site where the patient received outpatient observation care.
- Massachusetts Hospital Organization ID (IdOrgHosp): The Organization ID for the main hospital affiliation. For example, 3108 (Cambridge Health Alliance) is the IdOrgHosp for the IdOrgSite 142 (Everett Hospital).
- Massachusetts Transfer Hospital Organization ID (IdOrgTransfer): The Organization ID for the facility from which a patient is transferred. If the patient is transferred from outside of Massachusetts, the IdOrgTransfer will be 9999999.

Age LDS

If the date of birth and admission date are valid, then CHIA calculated Age LDS in years. The calculation was as follows:

- Age is calculated to be the rounded integer value of the difference between Date of Birth and Discharge date.
- If Age is valid and < 1 year, then AgeLDS = 0.
- If Age is valid and =>1 year and < 90 years, then AgeLDS = a year between 1 and 89</p>
- If Age is valid and > 89 and <= 115, AgeLDS = 999
- If Age is missing, negative value, or value > 115, then AgeLDS = null

Discretion should be used whenever a questionable age assignment is noted. Researchers are advised to consider other data elements in their analysis of this field.

Data Limitations

The OOD is derived from outpatient observation stay summaries, which can be traced to information gathered upon registration or from information entered by admitting and attending health professionals into the medical record. The quality of the OOD depends on facility data collection policies and coding practices of the medical record staff.

Information may not be entirely consistent from facility to facility due to differences in:

- Collection and verification of patient supplied information before or at admission,
- Update to information following forensic autopsy,
- Medical record coding, consistency, and/or completeness,
- Extent of facility data processing capabilities,
- Flexibility of facility data processing systems,
- Capacity of financial processing system to record late occurring charges on CHIA's electronic submission,
- Non-comparability of data collection and reporting.

Historical Data Elements

Users of multiple years of Case Mix data should be careful when merging multiple years of data. To maintain consistency across years, it may be necessary to merge some codes used for specific data elements. For example, keep in mind the transition from ICD-9-CM to ICD-10-CM/PCS which began in FY2015 (October 2015) and the change in race/ethnicity coding rubric which began in FY2007 (October 2007). Users with questions about new data elements or changes in coding from year to year should contact CHIA at casemix.data@chiamass.gov.

Data Dictionary

FY 2023 OOD data dictionary provides metadata for the following attributes:

- Data Element: name as it appears in the file
- Short description: to help users understand what the element contains
- Primary table: the main table (MS ACCESS) or file (SAS) that the data element will appear in
- Linking tables: other tables that contain the data element
- Availability to users: indicates if the data is available to all users ("CORE"), a buy-up ("LDS"), or available only to government ("GOV")
- Type of Data: describes if the data element is Categorical, Ordinal, Currency, an Identifier, Continuous, Date/Time, or Open Text
- Format: indicates if the data is formatted in a specific fashion
- CHIA derived or calculated: indicates if the field was created by CHIA
- Description: is a longer explanation of the data element and its limitations
- Reference table: indicates if a Categorical data element has set of valid values that are associated with other information
- Summary statistics: links to frequencies or means for that data element

Users of the data with additional questions about any specific data element should contact CHIA at casemix.data@chiamass.gov.

Active

Short Description: CHIA processing field.

Primary Table: SubmissionLog

Availability to Users: CORE

Type of Data: Categorical

Format: Varchar

Description: CHIA indicator of quarterly submission status.

AgeLDS

Short Description:

Primary Table:

Observation

CORE

Type of Data:

Continuous

Format: YYY CHIA Derived: Yes

Description: Age of the patient as calculated by CHIA based on the registration date and patient's

birth date. If either field is null, the calculated age will be blank. The calculated age is

rounded up to the nearest integer.

Patients younger than 1 year or older than 89 years have their ages grouped.

Patients younger than 1 year old are grouped as '0'. Patients older than 89 years are grouped as '999'.

Discretion should be used whenever a questionable age assignment is noted. Researchers are advised to consider other data elements in their analysis of this

field.

AssocConditionPresentCode1-10

Short Description: Flags whether the diagnosis was present on admission to Observation unit.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical
CHIA Derived: No

Description: A qualifier for each diagnosis code indicating the onset of diagnosis preceded or

followed by admission. These flags indicate the onset of a diagnosis preceded or followed by admission. There is a POA indicator for 96% of the diagnosis and external cause codes. There are instances where the diagnosis code is exempt from POA indicator reporting. In some of these instances, instead of using the POA exemption

indicator of '1', the data submitter has left the POA indicator blank.

AssociatedDiagnosisCode1-15

Short Description: ICD-10-CM code for each diagnosis reported by the facility. Up to 15 associated

diagnoses in FY 2023.

Primary Table:

Availability to Users:

CORE

Type of Data:

Categorical

VARCHAR

Description: ICD-10-CM Associated Diagnosis. **Reference Table:** Standard ICD-10-CM Diagnosis Codes

AssociatedProcedureCode1-3

Short Description: ICD-10-PCS codes for each associated significant procedure reported by the facility.

Up to 3 ICD-10-PCS procedures in FY 2023.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Format: VARCHAR

Description: Patient's significant procedure, as reported in FL 74 of the UB-04. ICD code (exclude

decimal point).

Reference Table: Standard ICD-10-PCS Procedure Codes

AssociatedProcedureCode1Date-3Date

Short Description: The date on which this procedure was performed

Primary Table: Observation

Availability to Users: LDS Type of Data: Date

Format: YYYYMMDD

Description: Calendar date that 1st non-primary procedure was performed.

AssociatedProcedureCode1YYYYMM-3YYYYMM

Short Description: Month and Year in which this procedure was performed

Primary Table: Observation

Availability to Users: LDS
Type of Data: Date
Format: YYMM

Description: Month and year that 1st non-primary procedure was performed.

Charges

Short Description: Facility reported costs for a stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Currency
Format: Integer

Description: This is the grand total of charges associated with the patient's Observation stay. The

total charge amount should be rounded to the nearest dollar. A charge of \$0 is not permitted unless the patient has a special Departure Status reported by facility.

City

Short Description: Municipality in which the Observation unit is located.

Primary Table: Organization
Availability to Users: CORE
Format: Varchar
Type of Data: Categorical

Description: City in which Observation facility is located.

CPTCode1-10

Short Description: CPT Code for each significant procedure reported by the facility. Up to 10

Procedures in FY 2023.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Reference Table: Standard CPT codes

DateInactive

Short Description: Date in which facility was inactive as an Observation facility.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Date
Format: YYYMMDD

Description: Date in which facility was inactive as an Observation facility.

DaysBetweenObsStays

Short Description: Number of days between Observation stays as calculated by CHIA.

Primary Table:

Availability to Users:

CORE

Type of Data:

Continuous

Format:

NNN

CHIA derived:

Yes

Description: Count of calendar days between Observation stays for a unique patient. Patients

were identified using their encrypted patient ID (field name PT_ID). The calculation is

Date of stay 2 - Date of stay 1.

DepartureStatus

Short Description: Standardized discharge status.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Format:

Description: CHIA defined discharge status as reported by Observation facility. This field identifies

the disposition and destination of the patient after discharge from Observation.

Reference Table:

CODE	DESCRIPTION
1	Routine
2	Admission to Hospital
3	Transferred
4	Against medical advice (AMA)
5	Expired

DischargeDate

Short Description: Date of discharge from Observation.

Primary Table: Observation

Availability to Users: LDS Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of discharge from Observation.

DischargeMonth

Short Description: Month in which patient was discharged from Observation.

Primary Table: Observation

Availability to Users: LDS
Type of Data: Date
Format: MM

Description: Month of discharge from Observation. Only two-digit values are valid.

DischargeYear

Short Description: Year in which patient was discharged from Observation.

Primary Table: Observation

Availability to Users: LDS
Type of Data: Date
Format: YYYY

Description: Year of discharge from Observation unit.

ED_Flag

Short Description: Flag indicating stay began in Emergency Department.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Format: N

Description: Indicates whether an observation stay began in the Emergency Department.

Reference Table:

CODE	DESCRIPTION
0	Not admitted to observation from the emergency department (ED)/no ED visit(s) on this record
1	Not admitted to observation from the emergency department but ED visit(s) reflected in this record
2	Admitted to observation from the emergency department

Emergency Department Registration Date

Short Description: Date of patient registration to the hospital's Emergency Department.

Primary Table: Observation
Availability to Users: GOV
Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of registration to the ED.

EmergencyDepartmentRegistrationTime

Short Description: Time of patient registration in the hospital's Emergency Department.

Primary Table: Observation
Availability to Users: GOV
Type of Data: Time
Format: HH:MM

Description: Time at which patient was registered in the emergency department. Hours in military

time (0-24 hours). Only values between 0000 and 2359 are valid.

Emergency Department Discharge Date

Short Description: Date of patient discharge from the hospital's Emergency Department.

Primary Table: Observation
Availability to Users: GOV
Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of discharge from the ED.

Emergency Department Discharge Time

Short Description: Time of patient discharge from the hospital's Emergency Department.

Primary Table: Observation

Availability to Users: GOV

Type of Data: Time

Format: HH:MM

Description: Time at which patient was discharged from the emergency department. Hours in

military time (0-24 hours). Only values between 0000 and 2359 are valid.

ErrorCategory

Short Description: Indicates the error on a stay record.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Categorical

CHIA Derived: Yes

Description: CHIA flag that indicates what the error was on a stay record. Used for processing.

ErrorDescription

Short Description: Standardized description of the reported error.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Open Text

Description: CHIA flag for a reported error in data. Used for processing.

ErrorLogKey

Short Description: Unique identifier of each error.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Identifier

Description: Unique identifier of each error.

Ethnicity1, Ethnicity2

Short Description: Standardized, facility reported ethnicity.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Categorical

CHIA Derived: No

Description: OOD includes two main fields to report Ethnicity: Ethnicity 1 and Ethnicity 2.

The ethnicity codes are based on the CDC Race and Ethnicity Code Set:

https://www.cdc.gov/nchs/data/dvs/Race_Ethnicity_CodeSet.pdf

Reference Table:

CODE	DESCRIPTION
AMERCN	American
BRAZIL	Brazilian
CVERDN	Cape Verdean
CARIBI	Caribbean Island
PORTUG	Portuguese
RUSSIA	Russian
EASTEU	Eastern European
OTHER	Other Ethnicity
UNKNOW	Unknown/Not Specified

FieldName

Short Description: Name of the data element that caused the error.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Open Text

Description: Name of the data element that caused the error. Used for processing.

GroupName

Short Description: Name of the system running the Observation unit.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Open Text

Description: System that runs or owns the Observation facility.

HispanicIndicator

Short Description: Indicates whether patient was Hispanic.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: A flag for patients of Hispanic/Latino/Spanish culture or origin regardless of race.

Reference Table:

CODE	DESCRIPTION
Υ	Patient is Hispanic/Latino/Spanish.
N	Patient is not Hispanic/Latino/Spanish.

HomelessIndicator

Short Description: Indicates whether the patient was homeless.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: This flag indicates that the patient was homeless at the time of stay. Data users

studying homelessness are advised to also review the ICD-10-CM diagnosis codes. There are instances when diagnosis code Z59.0 (homelessness) is reported in the

case mix data and the homeless indicator is not reported.

Reference Table:

CODE	DESCRIPTION
Υ	Patient is known to be homeless.
N	Patient is not known to be homeless.

HospitalBillNumber

Short Description: Unique patient billing record.

Primary Table: Observation

Availability to Users: GOV

Type of Data: Identifier

Format: Varchar

Description: Facility unique number associated with all billing for the stay.

ICDIndicator

Short Description: ICD version
Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Indicator for 10-CM/PCS diagnoses, external cause codes, and procedure codes

Reference Table:

CODE DESCRIPTION

0 ICD-10-CM/PCS Code

IdOrgFiler

Short Description: ID number of the facility that submitted Observation claims.

Primary Table: Observation
Linking Tables: SubmissionLog

ErrorLog

Availability to Users: CORE

Type of Data: Categorical

Description: The Organization ID for the facility that submitted the Observation stay data to

CHIA.

Reference Table: Table 3

IDOrgHosp

Short Description:Facility identifier.Primary Table:ObservationAvailability to Users:COREType of Data:Categorical

Description: The Organization ID for the main facility affiliation.

Reference Table: Table 3

IdOrgSite

Short Description:Facility identifier.Primary Table:ObservationAvailability to Users:COREType of Data:Categorical

Description: The Organization ID for the site where the patient received Observation care.

Reference Table: Table 3

IdOrgTransfer

Short Description: Indicates where patient was transferred from.

Primary Table: Observation **Availability to Users:** CORE Type of Data: Categorical

Description: Organization ID for the facility from which a patient is transferred. If the patient was

transferred from outside of Massachusetts, the IdOrgTransfer will be

9999999.

Reference Table: Table 3

LegacyCHIAMothersUHIN

Short Description: Patient's mother's unique id.

Primary Table: Observation

Availability to Users: LDS Type of Data: Identifier **VARCHAR** Format:

9 Length: **CHIA Derived:** Yes

Description: CHIA generated unique identifier of a newborn's mother. For newborns or for infants

> less than 1 year old, CHIA derives a unique ID for the patient's mother. This unique ID allows a newborn visit to be associated with a Mother's visit. Linkable across records and fiscal years. Each patient is given by CHIA a Unique Health Information Number (UHIN), which is a surrogate key that can link patients over time and across facilities. The data element is blank, a single dash (-) appears in the UHIN field. It is valid for facilities to report that the unique patient identifier is unknown. In these cases, the UHIN appears as '000000001'. The utility of the UHIN field is dependent on the reporting data. For a small number of facilities, little or no UHIN data exists, as these institutions failed to report patients' uniquely identified information. Other facilities reported the same data repeatedly, resulting in numerous admissions for one UHIN. In other cases, the demographic information (age, sex, etc.) was not consistent when a match did exist with the UHIN. Some explanations for this include assignment of a mother's unique identifier to her infant or assignment of a spouse's

unique identifier to a patient. Invalid data uses the code UHIN=' ------4'

LegacyCHIAPatientUHIN

Short Description: Patient's unique id.

Primary Table: Observation

LDS **Availability to Users:** Identifier Type of Data:

Format: VARCHAR

Length: 9
CHIA Derived: No

Description: CHIA generated unique identifier of the patient. Linkable across records and fiscal

years. Each patient is given by CHIA a Unique Health Information Number (UHIN), which is a surrogate key that can link patients over time and across facilities. The data element is blank, a single dash (-) appears in the UHIN field. It is valid for facilities to report that the unique patient identifier in unknown. In these cases, the UHIN appears as '000000001'. The utility of the UHIN field is dependent on the reporting data. For a small number of facilities, little or no UHIN data exists, as these institutions failed to report patients' uniquely identified information. Other facilities reported the same data repeatedly, resulting in numerous admissions for one UHIN. In other cases, the demographic information (age, sex, etc.) was not consistent when a match did exist with the UHIN. Some explanations for this include assignment of a mother's unique identifier for her infant or assignment of a spouse's unique identifier

to a patient. Invalid data uses the code UHIN='-----4'.

LegacyCHIAPhysicanNumber

Short Description: Unique identifier of the attending physician.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Identifier

Description: Identifier for the Observation Physician who provided services related to this stay.

Report if the physician's involvement in the patient's Observation stay

was captured in the facility's electronic information systems.

LegacyCHIAOtherPhysicanNumber

Short Description: Unique identifier of a non-attending physician.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Identifier

Description: Identifier for physician other than the Observation Physician who provided services

related to this stay. Report if the physician's involvement in the patient's Observation

stay was captured in the facility's electronic information systems.

LengthOfStayHours

Short Description: Count of hours spent in the Observation unit.

Primary Table: Observation
Availability to Users: CORE

Type of Data: Continuous
Format: NNN
CHIA Derived: Yes

Description: Count of hours between the registration and discharge time for an Observation stay.

MedicaidMemberID

Type of Data:

Short Description: Patient's MassHealth unique ID.

Primary Table: Observation Availability to Users: GOV

Description: Unique MassHealth Identifier of a patient.

Identifier

MedicalRecordNumber

Short Description: Stay identifier assigned by the facility.

Primary Table: Observation
Availability to Users: GOV
Type of Data: Identifier

Description: The unique number assigned to each patient within the hospital that distinguishes the

patient and the patient's hospital record(s) from all others in that institution.

NewbornAgeWeeks

Short Description: Age of children younger than age 1. Valid values are 1-52.

Primary Table: Visit

Availability to Users: CORE

Type of Data: Continuous

Format: NN CHIA Derived: Yes

Description: Age in weeks for children younger than 53 weeks of age who were admitted for

Observation. Weeks are calculated from the Admitting Date - the DOB, and then rounded to the nearest week. Only values between 0 and 52 are valid. All other

values are invalid.

NumberOfErrors

Short Description: Count of errors in submission from Observation.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Continuous

Description: CHIA processing field.

NumberOfObservations

Short Description: Count of Observation stay records for a facility in a quarter.

Primary Table: SubmissionLog
Linking Table: ErrorLog
Availability to Users: CORE
Type of Data: Continuous

Description: Total number of registered observation stays occurring during the reporting period.

NumberOfObservationsFailed

Short Description: Count of Observation stay records that failed CHIA intake.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Continuous

Description: Count of observation stay records that failed CHIA intake.

NumberOfObservationsPassed

Short Description: Count of observation stay records that passed CHIA intake.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Continuous

Description: Count of observation stay records that passed CHIA intake.

ObservationSequence

Short Description: Order in which observation stays occurred for a patient.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Continuous

CHIA Derived: Yes

Description: Numeric order of observation stays in Massachusetts for a patient based on the

patient's social security number.

OrganizationGroupID

Short Description: Code indicating the system that runs the Observation unit.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Categorical

Description: Code indicating the system that runs the Observation unit.

Reference Table: Table 3

OrganizationNumber

Short Description: ORGID of the facility that owns the Observation unit.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Categorical

Description: Identifier of the facility that owns the Observation unit.

Reference Table: Table 3

OrganizationTypeID

Short Description: Analogue to all the organization identifiers.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Categorical

Description: Organization ID that can be linked to all "IdOrg" fields.

Reference Table: Table 3

OrgID

Short Description:Facility identifier.Primary Table:OrganizationAvailability to Users:COREType of Data:Open Text

Description: CHIA assigned identifier for a facility.

Reference Table: Table 3

OrgName

Short Description: Name of Observation facility.

Primary Table: Organization
Linking Tables: SubmissionLog

ErrorLog

Availability to Users: CORE

Type of Data: Open Text

Description: Facility name.

OtherCareGiver

Short Description: Indicates if the patient had a caregiver.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Indicates the type of primary caregiver responsible for the patient's care other than

the attending physician, operating room physician, or nurse midwife as specified in the Regulation. Other caregiver codes include resident, intern, nurse practitioner, and

physician assistant.

Reference Table:

CODE	DESCRIPTION
1	Resident
2	Intern
3	Nurse Practitioner
4	Not Used
5	Physician Assistant

OtherEthnicity

Short Description: Non-standard patient ethnicity designation.

Primary Table: Observation
Availability to Users: GOV

Type of Data: Open Text

Description: Patient's ethnicity as entered by the facility. Other ethnicity is an open text field for

reporting any additional ethnicities when Ethnicity 1 or Ethnicity 2 equals "OTHER-

Other Ethnicity".

OtherPhysicianNumber

Short Description: Unique identifier of a non-attending physician.

Primary Table: Observation
Availability to Users: LDS
Type of Data: Identifier

Description: Physician's state license number (BORIM #) for physician other than the Observation

Physician who provided services related to the stay. Report if the physician's

involvement in the patient's Observation stay was captured in the facility's electronic information systems. If BORIM is unable, then must be "DENSG", "PODTR",

"OTHER", "NURSEP", "PHYAST" or "MIDWIF".

OtherRace

Short Description: Non-standard patient race designation.

Primary Table: Observation
Availability to Users: GOV
Type of Data: Open Text

Description: Patient's Race as entered by the facility. Other Race is an open text field for reporting

additional races when Race 1 or Race 2 equals "R9 -Other Race".

Passed

Short Description: CHIA processing field. Primary Table: SubmissionLog

Availability to Users: CORE

Type of Data: Continuous

Description: Count of observation stay records that passed CHIA processing.

PatientBirthDate

Short Description: Patient date of birth.

Primary Table: Observation

Availability to Users: GOV Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of patient's birth.

PermanentPatientCityLDS

Short Description: Permanent city of residence for the patient.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Categorical

Description: Primary city of residency for patient.

PermanentPatientCountryLDS

Short Description: Permanent country of residence for the patient.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Primary country of residency for patient. The LDS populates

PermanentPatientCountryLDS for only the countries of US, CA and MX which covers 99% of the records. The other country values for 1% of the records are suppressed.

PermanentPatientStateLDS

Short Description: Permanent state of residence for the patient.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Primary state of residency for patient. Only values in the reference table are valid.

While patients from all states have received observation stay care, the LDS populates the PermanentPatientStateLDS field for only the states of MA, NH, RI, CT, ME, VT, and NY which covers 98% of the records. The other state values for 2% of the

records are suppressed.

PermanentPatientZIP3CodeLDS

Short Description: 3-digit ZIP code of the patient's permanent residence.

Primary Table: Observation
Availability to Users: CORE
Type of Data: ZIP code
Format: NNN

Description: First three digits of patient's permanent ZIP code. ZIP codes were not standardized

and this field was as reported from a nine-digit ZIP code.

PermanentPatientZIP5CodeLDS

Short Description: 5-digit ZIP code of the patient's permanent residence.

Primary Table: Observation

Availability to Users: LDS

Type of Data: ZIP code

Format: NNNNN

Description: First five digits of patient's permanent ZIP code. ZIP codes were not standardized

and this field was as reported from a nine-digit ZIP code.

PhysicianNumber

Short Description: Unique identifier of the attending physician.

Primary Table: Observation

Availability to Users: LDS Type of Data: Identifier

Description: Physician's state license number (BORIM #) for the Observation Physician who

provided services related to this stay. Report if the physician's involvement in the patient's Observation stay was captured in the facility's electronic information systems. If BORIM is unable, then must be "DENSG", "PODTR", "OTHER",

"NURSEP", "PHYAST" or "MIDWIF".

PrimaryPayerType

Short Description: Primary payer type for the stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Patient's expected primary payer type for the Observation stay. Report the single

character text code indicating the type of payer who has primary responsibility for the

payment of the services related to the Observation stay.

Reference Table: Table 4 and online at CHIA website: Payer Codes (Excel)

PrimarySourceOfPayment

Short Description: Primary payer for the stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Primary payer for the Observation stay. **Reference Table:** Online at CHIA website: **Payer Codes** (Excel)

PrincipalConditionPresent

Short Description: Flag indicating that principal condition was present on admission.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Indicates that principal condition was present on admission.

Reference Table: Table 2

PrincipalDiagnosisCode

Short Description: ICD-10-CM code for the condition that led to the admission to the Observation unit.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: The condition established after study to be chiefly responsible for occasioning the

admission of the patient to the observation unit. Facility determined.

Reference Table: Standard ICD-10-CM Diagnosis Codes

PrincipalProcedureCode

Short Description: ICD-10-PCS code for the principal procedure in the Observation unit stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

CHIA Derived: No

Description: The chief procedure performed in the Observation unit. Facility determined.

Reference Table: Standard ICD-10-PCS Procedure Codes

PrincipalProcedureCodeDate

Short Description: Date of the principal Procedure.

Primary Table: Observation

Availability to Users: LDS Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of principal procedure. There are instances when observation stay

patients do not have a principal procedure and therefore, the principal procedure code date field is blank. An anomaly in the FY 2023 OOD release is that many of these blank date fields are populated with 19000101. Since no principal procedure is

associated with this date, 19000101 can be recoded as NULL.

PrincipalProcedureCodeYYYYMM

Short Description: Month and Year of the principal procedure.

Primary Table: Observation

Availability to Users: LDS Type of Data: Date

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Indicates that principal condition was present at admission. There are instances when

observation stay patients do not have a principal procedure and therefore, the principal procedure code date field is blank. An anomaly in the FY 2023 OOD release

is that many of these blank date fields are populated with 190001. Since no principal

procedure is associated with this date, 190001 can be recoded as NULL.

Quarter

Short Description: Quarter of submission. **Primary Table:** SubmissionLog

Availability to Users: CORE Type of Data: Date

Description: Quarter in which the observation stay was submitted to CHIA.

Race1, Race2

Short Description: Standardized, facility reported race.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Categorical

Description: CHIA has adopted federal Office of Management and Budget standard race and

ethnicity values that are consistent with CDC standards.

Reference Table:

CODE	DESCRIPTION
R1	American Indian/Alaska Native
R2	Asian
R3	Black/African American
R4	Native Hawaiian or other Pacific Islander
R5	White
R9	Other Race
UNKNOW	Unknown

RecordType01ID

Short Description: Stay identifier. Primary Table: Observation

Availability to Users: CORE

Type of Data: Identifier

Description: Stay identifier used for CHIA processing.

RegistrationDate

Short Description: Date of admission to the Observation unit.

Primary Table: Observation

Availability to Users: LDS Type of Data: Date

Format: YYYYMMDD

Description: Calendar date of admission to Observation.

RegistrationMonth

Short Description: Month of admission to the Observation unit.

Primary Table: Observation

Availability to Users: LDS
Type of Data: Date
Format: MM

Description:Month in which the patient was admitted to Observation. Only values between 1 and

12 are valid.

RegistrationTime

Short Description: Time stamp indicating when the patient was admitted to the Observation unit.

Primary Table: Observation

Availability to Users: GOV

Type of Data: Time

Format: HH:MM

Description: Time at which the patient was admitted to Observation. Hours in military

time (0-24 hours). Only values between 0000 and 2359 are valid.

RegistrationYear

Short Description: Year of admission to the Observation unit.

Primary Table: Observation

Availability to Users: LDS
Type of Data: Date
Format: YYYY

Description: Year patient was admitted to Observation. Valid values may be 2022 and 2023.

SecondaryPayerType

Short Description: Secondary payer for the Observation stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Patient's expected secondary payer type for the Observation stay. Report the single

character text code indicating the type of payer who has secondary responsibility for

the payment of the services related to the Observation stay.

Reference Table: Table 4 and online at CHIA website: Payer Codes (Excel)

SecondarySourceOfPayment

Short Description: Secondary payer for the Observation stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Secondary payer for the observation stay. **Reference Table:** Online at CHIA website: **Payer Codes** (Excel)

SecondarySourceOfVisit

Short Description: Secondary cause of the Observation stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: The two sources of stay codes (Source of stay and Secondary Source of stay) which

indicate the source originating, referring or transferring the patient to Observation. Please note that the terms "visit" and "stay" are used interchangeably for observation

services.

Reference Table: Table 1

SexLDS

Short Description:Indicates gender.Primary Table:ObservationAvailability to Users:COREType of Data:Categorical

Description: Gender flag as assigned by the observation unit.

Reference Table:

CODE	DESCRIPTION	
М	Male	
F	Female	
U	Unknown	

SourceOfVisit

Short Description: How a patient reached the Observation unit.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

CHIA Derived: No

Description: The two sources of stay codes (Source of Visit and Secondary Source of Visit) which

indicate the source originating, referring or transferring the patient to Observation. Reporting patterns for the Source of Visit data element may vary widely and, as a result, may not be reliable. Please note that the terms "visit" and "stay" are used

interchangeably for observation services.

Reference Table: Table 1

State

Short Description:Facility state.Primary Table:OrganizationAvailability to Users:COREType of Data:Categorical

Description: State in which reporting facility is located.

Reference Table: Table 5

SubmissionActive

Short Description: CHIA processing field.

Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Identifier

Description: CHIA flag. Used for processing.

SubmissionControllD

Short Description: Unique per facility-quarter-submission identifier. Key link from the stay table.

Primary Table: Observation
Linking Tables: SubmissionLog

ErrorLog

Availability to Users: CORE

Type of Data: Identifier

Description: Unique id for a facility's submission of data to CHIA. Usually one Submission Control

ID is associated with a facilities' quarterly submission.

SubmissionPassed

Short Description: CHIA flag.
Primary Table: ErrorLog
Availability to Users: CORE
Type of Data: Identifier

Description: Indicates that observation stay data was submitted to CHIA and passed.

SubmissionQuarter

Short Description: Indicates the quarter (1-4) in which the record was submitted to CHIA.

Primary Table: Observation
Linking Tables: ErrorLog
Availability to Users: CORE
Type of Data: Categorical

Description: Quarter in which the observation stay data was submitted to CHIA.

SubmissionYear

Short Description: Year in which the observation stay data was submitted to CHIA.

Primary Table: Observation
Linking Tables: ErrorLog
Availability to Users: CORE
Type of Data: Categorical

Description: Indicates the year (2021-2022) in which the record was submitted to CHIA.

SurgeonAssociatedProcedure1-3

Short Description: ICD-10-PCS or CPT code for each significant procedure reported by the facility. Up to

3 procedures in FY 2023.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Categorical

Description: Patient's significant procedure, as reported in FL 74 of the UB-04. ICD code

(exclude decimal point).

Reference Table: Standard ICD-10-PCS Procedure Codes

TemporaryPatientCityLDS

Short Description: Current municipality of patient residence, if different from permanent residence.

Primary Table: Observation

Availability to Users: LDS

Type of Data: Categorical

Description: MA City in which the patient temporarily resides.

TemporaryPatientStateLDS

Short Description: Current state of patient residence, if different from permanent residence.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Indicates "MA" if the patient temporarily resides in Massachusetts.

TemporaryPatientZip3CodeLDS

Short Description: Current 3-digit ZIP code of patient residence, if different from permanent residence.

Primary Table: Observation
Availability to Users: CORE
Type of Data: ZIP code
Format: NNN

Description: First three digits of patient's temporary, Massachusetts ZIP code. ZIP codes are not

standardized and this field is as reported from a nine-digit ZIP code. The Limited Data Set (LDS) supports selection of 3-character ZIP Code or 5- character ZIP Code for approval by CHIA. Government users may be able to request a 9-character ZIP Code. For LDS users only, if the patient state was not in Massachusetts or a state bordering Massachusetts (Connecticut, Maine, New Hampshire, New York, Rhode Island or Vermont), ZIP codes are set to zeros (0s) and the state is removed.

TemporaryPatientZip5CodeLDS

Short Description: Current 5-digit ZIP code of patient residence, if different from permanent residence.

Primary Table: Observation

Availability to Users: LDS

Type of Data: ZIP code
Format: NNNNN

Description: First five digits of patient's temporary, Massachusetts ZIP code. ZIP codes are not

standardized and this field is as reported from a nine-digit ZIP code. The Limited Data Set (LDS) supports selection of 3-character ZIP Code or 5-character ZIP Code for approval by CHIA. Government users may be able to request a 9-character ZIP Code. For LDS users only, if the patient state was not in Massachusetts or a state bordering Massachusetts (Connecticut, Maine, New Hampshire, New York, Rhode Island or Vermont), ZIP codes are set to zeros (0s) and the state is removed.

TotalCharges

Short Description: Total charges associated with Observation stay(s) in a Facility-Submission-Quarter.

Primary Table: SubmissionLog

Availability to Users: CORE

Type of Data: Currency

Description: Sum of charges for the submission.

Type

Short Description: Indicates the type of facility where stay occurred.

Primary Table: Organization
Availability to Users: CORE
Type of Data: Categorical

Description: Categorizes observation stays by facility type. Curated by CHIA.

TypeOfVisitObs

Short Description: Indicates the type of stay.

Primary Table: Observation
Availability to Users: CORE
Type of Data: Categorical

Description: Facilities indicate whether the stay was Emergency, Urgent, Non-Urgent, Newborn,

or Unavailable.

Reference Table: CODE DESCRIPTION

1 Emergency

2	Urgent
3	Elective
4	Newborn
5	Information Not Available

VisitPassed

Short Description:CHIA flag.Primary Table:ObservationAvailability to Users:COREType of Data:Identifier

Description: CHIA flag. Used for processing.

Year

Short Description: Indicates year of submission.

Primary Table: SubmissionLog

Availability to Users: CORE

Type of Data: Categorical

Format: YY

Description: Calendar Year the data was submitted.

Longer Reference Tables

FY 2023 OOD has several standard reference tables. These relate to categorical variables driven by the Outpatient Observation Database Submission Guide. Some of the tables have been integrated into the data dictionary. This section contains longer tables used by multiple data elements. Users of the data with additional questions about any specific Reference table should contact CHIA at casemix.data@chiamass.gov.

Table 1. SOURCE OF VISIT

Principal Data Element: SourceOfVisit

Other Data Elements: SecondarySourceofVisit

Rules: All other values are invalid

CODE	DESCRIPTION
0	Information Not Available
1	Direct Physician Referral
2	Within Hospital Clinic Referral
3	Direct Health Plan Referral/HMO Referral
4	Transfer from Acute Care Hospital
5	Transfer from a Skilled Nursing Facility (SNF)
6	Transfer from Intermediate Care Facility (ICF)
7	Outside Hospital Emergency Room Transfer
8	Court/Law Enforcement
9	Other
F	Transfer from a Hospice Facility
L	Outside Hospital Clinic Referral
M	Walk-In/Self-Referral
R	Inside Hospital ER Transfer
Т	Transfer from Another Institution's Ambulatory Surgery (SDS)
W	Extramural Birth
Υ	Within Hospital Ambulatory Surgery Transfer (SDS Transfer)

Table 2. CONDITION PRESENT

Principal Data Element: Principal ConditionPresent

Rules: All other values invalid.

CODE	DESCRIPTION
Υ	Yes
N	No
U	Unknown
W	Clinically undetermined
1	Exempt
A	Not applicable

Table 3. ORGANIZATION

Data Elements: IdOrgFiler

IdOrgHosp IdOrgSite IdOrgTransfer OrgID

Note: The organization table contains information on all organizations submitting data and other organizations transferring patients to organizations. Below is a list of the 68 organizations submitting observation data in FY 2023. See Part D: Data Notes for additional information on data submitters.

CODE	DESCRIPTION	OBSERVATION STAYS
1	Anna Jaques Hospital	1,688
2	Athol Memorial Hospital	329
4	Baystate Medical Center	10,967
5	Baystate Franklin Medical Center	1,481
7	Berkshire Medical Center - Berkshire Campus	3,503
8	Fairview Hospital	284
10	Beth Israel Deaconess Medical Center - East Campus	9,937
16	Boston Medical Center - Menino Pavilion Campus	7,920
22	Brigham and Women's Hospital	8,088
25	Signature Healthcare Brockton Hospital	1,175
27	Cambridge Health Alliance - Cambridge Hospital Campus	1,572
39	Cape Cod Hospital	5,115

CODE	DESCRIPTION	OBSERVATION STAYS
40	Falmouth Hospital	1,371
42	Steward Carney Hospital	500
46	Boston Children's Hospital	9,712
49	MetroWest Medical Center - Framingham Campus	1,906
50	Cooley Dickinson Hospital	1,836
51	Dana-Farber Cancer Institute	45
53	Beth Israel Deaconess Hospital - Needham	2,126
57	Emerson Hospital	2,330
59	Brigham and Women's Faulkner Hospital	4,438
62	Steward Good Samaritan Medical Center - Brockton Campus	1,413
68	Harrington Memorial Hospital	1,511
71	HealthAlliance - Leominster Campus	2,171
73	Heywood Hospital	1,493
75	Steward Holy Family Hospital	1,215
77	Holyoke Medical Center	780
79	Beth Israel Deaconess Hospital - Plymouth	6,384
81	Lahey Hospital & Medical Center, Burlington	4,094
83	Lawrence General Hospital	4,005
85	Lowell General Hospital	3,342
88	Martha's Vineyard Hospital	502
89	Massachusetts Eye and Ear Infirmary	566
91	Massachusetts General Hospital	20,967
97	Milford Regional Medical Center	4,632
98	Beth Israel Deaconess Hospital - Milton	2,855
99	Morton Hospital, A Steward Family Hospital	1,188
100	Mount Auburn Hospital	3,589
101	Nantucket Cottage Hospital	324
103	New England Baptist Hospital	35
104	Tufts Medical Center	4,122
105	Newton-Wellesley Hospital	6,222
106	Baystate Noble Hospital	1,791
109	Lahey Health - Addison Gilbert Hospital	736
110	Lahey Health - Beverly Hospital	3,309
114	Steward Saint Anne's Hospital	953
115	Lowell General Hospital – Saints Campus	2,785
116	North Shore Medical Center - Salem Campus	7,663
119	Mercy Medical Center - Springfield Campus	2,852
122	South Shore Hospital	7,316

CODE	DESCRIPTION	OBSERVATION STAYS
123	Southcoast Hospitals Group - Charlton Memorial Campus	4,718
124	Southcoast Hospitals Group - St. Luke's Campus	7,718
126	Steward St. Elizabeth's Medical Center	957
127	Saint Vincent Hospital	2,928
129	Sturdy Memorial Hospital	3,492
130	UMass Memorial Medical Center - Memorial Campus	2,918
131	UMass Memorial Medical Center - University Campus	8,063
132	HealthAlliance-Clinton Hospital Campus	243
133	Marlborough Hospital	2,434
138	Winchester Hospital	3,593
139	Baystate Wing Campus	1,495
141	Melrose Wakefield Hospital Campus – Melrose Wakefield Healthcare	2,854
142	Cambridge Health Alliance - Everett Hospital Campus	1,512
145	Southcoast Hospitals Group - Tobey Hospital Campus	2,114
4448	Lahey Medical Center, Peabody	252
6963	Shriners Hospitals for Children Boston	32
11466	Holy Family Hospital at Merrimack Valley, A Steward Family Hospital	275
11467	Nashoba Valley Medical Center, A Steward Family Hospital	481

Table 4. PAYER TYPE

Principal Data Element: PayerType

Other Data Elements: ManagedCareCode

ManagedCareCode MCareMCaidPrivCode

Rules: All other values are invalid

PAYER TYPE CODE	PAYER TYPE ABBREVIATION	PAYER TYPE DEFINITION
1	SP	Self-Pay
2	WOR	Worker's Compensation
3	MCR	Medicare
F	MCR-MC	Medicare Managed Care
4	MCD	Medicaid
В	MCD-MC	Medicaid Managed Care
5	GOV	Other Government Payment
6	BCBS	Blue Cross
7	COM	Commercial Insurance
D	COM-MC	Commercial Managed Care
8	НМО	НМО
9	FC	Free Care
0	OTH	Other Non-Managed Care Plans
Е	PPO	PPO and Other Managed Care Plans Not Elsewhere Classified
Н	HSN	Health Safety Net
J	POS	Point-of-Service Plan
К	EPO	Exclusive Provider Organization
Т	Al	Auto Insurance
N	None	None (Valid only for Secondary Payer)
Q	ComCare	Commonwealth Care/ConnectorCare Plans

PAYER TYPE CODE	PAYER TYPE ABBREVIATION	PAYER TYPE DEFINITION
Z	DEN	Dental Plans
S	SCO/ICO	Senior Care Options / Integrated Care Organization
A	MCD-ACO	Medicaid Accountable Care Organization
С	COM-ACO	Commercial Accountable Care Organization

Table 5. STATE

Principal Data Element: PermanentPatientStateLDS

Other Data Elements: TemporaryPatientStateLDS

Rules: All other values are invalid

Must be present when Patient Country

is 'US'

Must be valid U.S. postal code for

state

CODE	DESCRIPTION
СТ	Connecticut
ME	Maine
MA	Massachusetts
NH	New Hampshire
NY	New York
RI	Rhode Island
VT	Vermont

Note: The LDS includes only the states listed above. The default code of XX is used for any other state or U.S. possession.

Part D. Data Notes

At the time of this publication the following data notes were present. As data findings occur, CHIA will update the FY 2023 OOD Release Notes published on the CHIA website at https://www.chiamass.gov/case-mix-data/. Data notes will not be regularly updated in this Documentation Manual.

Mass General Brigham (MGB): Upon review of CHIA data reporting requirements, MGB hospitals reclassified their reporting of Emergency Department (ED) observation encounters. CHIA expects only ED visits that do not result in an outpatient observation bed stay or inpatient admission to be included in ED data file submissions. Similarly, Outpatient

Observation Data (OOD) should be reported for patients who receive observation services and are not admitted. As a result, the following MGB hospitals resubmitted quarterly files resulting in a decline in Emergency Department visits (EDD) and an increase in reported Outpatient Observation stays (OOD). These changes are reflected in CHIA's Final FY 2023 Annual data releases.

HOSPITAL (CHIA ORG ID)	FY 2023 VOLUME CHANGE	
Massachusetts General Hospital (Org Id 91)		
EDD	-13,486	
OOD	16,537	
Brigham & Women's Hospital (Org Id 22)		
EDD	-3,110	
OOD	5,212	
Brigham & Women's Faulkner Hospital (Org Id 59)		
EDD	-2,554	
OOD	3,251	

Note: This is not expected to be a 1:1 shift. During the payment adjudication process, visits may be re-classified as IP, OP, or ED.

- MetroWest Medical Center Framingham Campus (Org Id 49) and Saint Vincent Hospital (Org Id 127) are missing Transfer Org Ids for FY 2023 Q3 and Q4 due to a system conversion. This is expected to be corrected for FY 2024.
- Signature Healthcare Brockton Hospital (Org Id 25) temporarily closed as of February 7, 2023, due to a transformer fire and did not submit quarterly OOD files for FY 2023 Q3 and Q4.
- Steward Norwood Hospital (Org Id 41) temporarily closed on June 29, 2020, due to flooding and did not submit quarterly OOD files for FY 2023. This site is currently undergoing a complete renovation and rebuild.

FY 2023 - Provider Specific Notes

Prior to releasing the FY 2023 OOD, CHIA provides the hospitals with a profile of the data submitted. Providers may resubmit data or may provide written feedback to CHIA. Below is a summary of some of the key feedback received.

- Berkshire Medical Center Berkshire Campus (Org Id 7):
 - Noted a 9% volume decrease due to a lower number of admissions across the Berkshire Health System sites in FY 2023.
- Beth Israel Deaconess Hospital Needham (Org Id 53):
 - Noted fluctuation in Source of Admission-Walk-In/Self-Referral visits in FY 2023 as patients went to ED versus Primary Care Physician (PCP) office visits due to COVID.
 - Noted increase in Direct Physician Referrals due to the closure of Steward Norwood Hospital, addition of surgeons to medical staff and opening of a new operating room.
- Beth Israel Deaconess Hospital Plymouth (Org Id 79):
 - Noted slight increase in total patient discharges due to the temporary closure of Signature Healthcare Brockton Hospital.
- Boston Children's Hospital (Org Id 46):
 - Noted decrease in other ACO plan volumes in Source of Payment due to change in ACO from Tufts to WellSense Health Plan in April 2023.
 - Noted Principal Diagnosis Code Acute Bronchiolitis due to RSV diagnoses peaked for FY 2023 in Q1 and declined thereafter.
 - Noted increase in Principal Procedure Codes including Monitoring of Central Nervous Electrical Activity and Resection of Tonsils due to overall increase in Operating Room volume from FY 2021 to FY 2023.
- Cape Cod Hospital (Org Id 39):
 - Noted Type of Admission volume decreases for Elective and Urgent reporting categories and increase in Emergency due to staff retraining resulting in more accurate reporting.
 - Noted increase in Hispanic data collection due to improved self-registration process.
- Falmouth Hospital (Org Id 40):
 - Noted Type of Admission volume decreases for Elective and Urgent reporting categories and increase in Emergency reporting due to staff retraining resulting in more accurate reporting.
- Holyoke Medical Center (Org Id 77):
 - Noted Electronic Health Record (EHR) system conversion resulted in some values of Source of Admission types incorrectly mapped which has now been corrected for FY 2024.
 - Noted Type of Admission values incorrectly entered related to EHR conversion and workflow changes.
 The process has been corrected to reflect appropriate data reporting.
 - Noted increase in "Unknown" reporting Race category due to an increase in patient self-reporting.
- MetroWest Medical Center Framingham Campus (Org Id 49) and Saint Vincent Hospital (Org Id 127):
 - Noted fluctuations in reported volumes due to EHR conversion in May 2023.
- Milford Regional Medical Center (Org Id 97):
 - Noted Primary Source of Payment quarterly fluctuations due to code updates.
 - Noted 22% increase in Outpatient Admissions due to expanded Observation capacity as a result of working on patient flow within the hospital.
- South Shore Hospital (Org Id 122):
 - Noted Primary Source of Payment-Self Pay reporting category increase in quarters 3 and 4 due to the closure of Signature Healthcare Brockton Hospital affecting its payer mix and Mass Health's change in member eligibility.

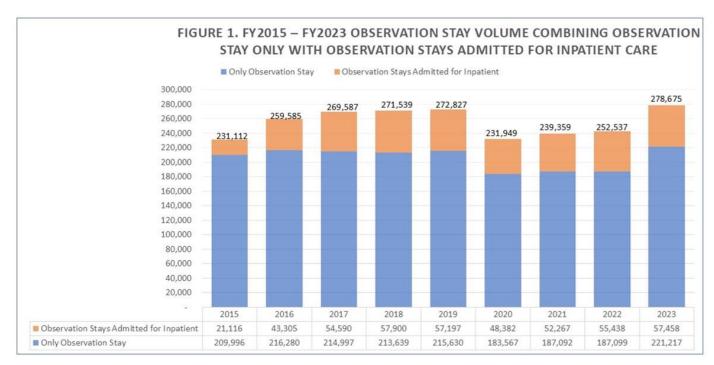
The following hospitals resubmitted data prior to finalizing the FY 2023 OOD release available in August 2024.

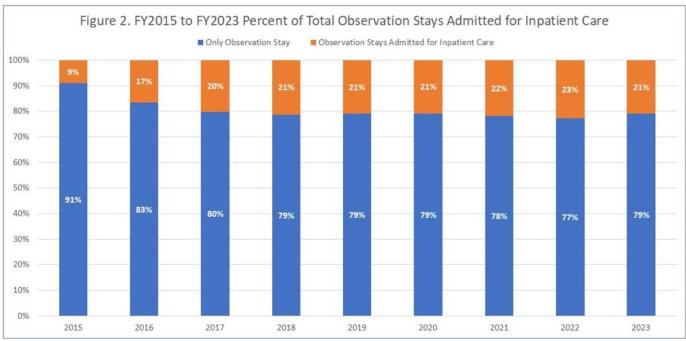
- Athol Memorial Hospital (Org Id 2) and Heywood Hospital (Org Id 73) resubmitted all quarters to include patient SSN data which is required to create CHIA generated Unique Health Information Numbers (UHIN).
- Boston Medical Center Menino Pavilion Campus (Org Id 16) resubmitted quarters 1-4 to correct ZIP codes.
- Brigham and Women's Hospital (Org Id 22), Brigham and Women's Faulkner Hospital (Org Id 59), Dana-Farber Cancer Institute (Org Id 51), Massachusetts General Hospital (Org Id 91) and North Shore Medical Center Salem Campus (Org Id 116) resubmitted quarters 1-4 to correct reporting of Outpatient Observation stays.
- Cape Cod Hospital (Org Id 39) and Falmouth Hospital (Org Id 40) resubmitted quarters 1-4 to correct Length
 of Stay mapping.
- Cooley Dickinson Hospital (Org Id 50) resubmitted quarters 1-4 to correct reporting of Outpatient Observation stays and to correct payer mapping.
- Martha's Vineyard Hospital (Org Id 88) resubmitted quarters 1-4 to correct reporting of Outpatient Observation stays and to correct payer mapping.
- MetroWest Medical Center Framingham Campus (Org Id 49) and Saint Vincent Hospital (Org Id 127) resubmitted quarters 3 and 4 due to EHR conversion table update to capture Board of Registration in Medicine (BORIM) license data and total discharge volume.

Part E. Frequently Asked Questions

<u>Question</u>: What difference in volume of observation stays should I expect if I applied only for the observation stay data and did not include observation stays who were admitted for hospital inpatient care?

<u>Answer:</u> From FY2015 through FY2023, the combined volume of patients seen only in observation stay and admitted after observation stay has trended upward to the highest in eight years (see Figure 1 below), with the percent of observation stay patients admitted who would only be in the hospital inpatient data increasing from 9% in FY2015 to 21% in FY 2023 (see Figure 2 below).





<u>Question:</u> If we wanted to analyze patients who are admitted through observation stay to inpatient hospitalization, what field should we use to ensure that we have filtered for all such patients?

Answer: Hospitals report observation room charges under revenue code 762. However, if the patient has been seen in Observation as well as another outpatient department and is then admitted, hospitals use Revenue Code 762 and use the alternate outpatient department as the admission source. Since this is frequently the case with observation stay patients, you will find a small number of discharges with source code 'X' for observation admission source and a larger number with Revenue Code

762 and Observation Stay Flag indicating 'Yes'. It is important to note that the revenue code units of service are reported in hours and a patient might have a longer observation stay, say 48 hours, than inpatient length of stay, which could be only 1 day.

Question: What timeframe constitutes an observation stay? I understand that emergency department visits typically do not exceed 24 hours. Is that the same for observation stay?

<u>Answer:</u> CMS indicates that observation stays are not expected to exceed a duration of 48 hours.

See: https://www.cms.gov/regulations-and-guidance/guidance/transmittals/downloads/r42bp.pdf

In Massachusetts FY 2023 observation stay data, 51% of observation stays were 24 hours of less, 30% were over 24 hours and 48 hours or less, and 19% were over 48 hours.

Question: If a patient is admitted for hospital inpatient care from observation stay and their observation stay rolled into their case mix hospital inpatient record and does not appear in the observation stay data, what does the observation stay "Departure Status = '2' (admitted to hospital)" mean?

<u>Answer:</u> Medicare guidelines indicate that observation stay departure status code 2 is used when the hospital is aware of a planned admission even if it doesn't occur immediately after the stay ends. CHIA was able to corroborate that code 2 patients were eventually admitted, some to the same hospital, some to different hospitals, and some not immediately after they end their observation stay. In Figure 1 below, you will see that the use of observation stay departure status code 2 significantly decreased from FY2018 to FY2022, with an increase due to a change in reporting in FY2023.

